

# THE ANTI-CORRUPTION LAW PROGRAM



TRANSPARENCY  
INTERNATIONAL  
CANADA



PETER A. ALLARD  
SCHOOL OF LAW  
THE UNIVERSITY OF BRITISH COLUMBIA

CENTRE FOR  
BUSINESS LAW



THE INTERNATIONAL CENTRE FOR  
CRIMINAL LAW REFORM AND  
CRIMINAL JUSTICE POLICY

## Integrity and Anti-Corruption for SMEs – Getting it Right

### Zoom Webinar Meeting

January 29, 2021; 9:00 am to 10:30 am PST

This Seminar will provide an opportunity for participants to explore with our experienced panel the issues that confront small and medium-sized enterprises that seek to grow their market share and “do the right thing” — conduct business with integrity, help fight corruption, and comply with applicable anti-corruption laws. Our panelists bring diverse perspectives to the discussion, and will address such questions as:

- How can SMEs adopt anti-corruption measures that are cost-effective and proportional to their own unique risk profile?
- How relevant are international standards and best practices (such as US Department of Justice Guidelines) to the creation and operation of compliance programs in Canada? Can the DOJ Guidelines be adapted to the needs and overhead budgets of SMEs in Canada?
- What unique characteristics of SMEs can be leveraged to compensate for lacking the resources of larger firms, such as in-house lawyers and compliance departments?

Our panelists have extensive professional experience in establishing, operating, and evaluating compliance systems for a wide range of organizations, and have led successful efforts by industry and professional associations to promote the adoption of anti-corruption systems among their members.

### **Panel Moderator – Norman Baldwin, Partner, GRC Vista**

Norman has been active in the international business integrity field for over 30 years. He was formerly Operations Manager for two Vancouver-based investment firms, where he oversaw compliance and operational risk for the parent companies and a diverse portfolio of subsidiaries in Canada, southern Africa, Asia, and the USA. From 1995-2017 Norman was based in Brazil, where he helped a long list of organizations to improve their governance, risk, and compliance practices and standards. He is currently a Partner with risk management consulting firm GRC Vista, which advises companies on strategies for white collar crime deterrence.

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**Panelist - Yuliya Kovalenko** – Manager, Business Integrity team, Export Development Canada (EDC)

Yuliya is a Manager in the Business Integrity team at Export Development Canada, a government agency responsible for supporting and developing Canada's export trade. Her team helps EDC fulfill this mandate by promoting business ethics and giving Canadian companies the competitive advantage they need to succeed internationally. As a leader in responsible business, Yuliya helps Canadian companies navigate the complexities of international trade while maintaining the highest standard of business ethics and integrity. Learn more about EDC and Business Integrity: <https://www.edc.ca/en/campaign/anti-corruption.html>

**Panelist - James N. Mwangi** – CEO, Kurrent Technologies Ltd., Nairobi, Kenya. Member, Board of Directors of the International Federation of Consulting Engineers (FIDIC)

James Mwangi has held key roles for over 30 years in the Energy (power generation and renewable energy) and Oil & Gas sectors. He is a Registered Consulting Engineer with the Engineers Board of Kenya (EBK), a member of the Mechanical Engineering Panel at the Engineers Board of Kenya, a Fellow with the Institution of Engineers of Kenya (IEK), a Member of the IEK Professional Review Board Panel for Mechanical Engineering, and holds a B.Sc. (Hons) in Mechanical Engineering from the University of Nairobi. James is a former Chairman and current member of the Executive Committee of FIDIC – AFRICA (Group of African Member Associations of Consulting Engineers). He is also the Chairman of the KEPSA (Kenya Private Sector Alliance) Energy and Extractives Sector Board, a Director at the Petroleum Institute of East Africa (PIEA), a Member of the East Africa Branch Council of the International Project Finance Association (IPFA), and a Member of the Energy Institute (U.K.). James is also the Chairman of the Private Sector Initiative against Corruption under the umbrella of the Multisectoral Forum (MSF), and a past Chairman of the Association of Consulting Engineers of Kenya (ACEK).

His company, Kurrent Technologies Ltd., is a Consulting Engineering firm registered with the Engineers Board of Kenya. It is currently undertaking major energy and oil & gas projects, including large-scale LPG facilities, petroleum pipelines, oil exploration and production, as well as studies for LNG power generation plants, solar and wind power projects, and electrical transmission lines, primarily in East and Central Africa.

**Panelist - John Ritchie** – Vice Chair, FIDIC Integrity Management Committee, former Board Member, Transparency International Canada

Mr. Ritchie is a Civil Engineer with more than 40 years of experience obtained in Canada, Asia and Australia. His water resources and hydropower experience includes projects in many parts of Canada, and in Thailand, Sri Lanka, Nepal and India. Until recently he served on the Board of Directors of BC Hydro and Transparency International Canada. Mr. Ritchie developed and

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implemented an anti-corruption compliance system for his Canada-based engineering firm, Acres International. He subsequently served as full-time Compliance Director for an EPCM team implementing a major LNG project that is under construction in Western Australia, followed by the establishment and initial management of a Project anti-corruption compliance system for another major LNG project under construction in northern Australia.

Mr. Ritchie currently serves as Co-Director of the Vancouver, BC based Anti-Corruption Law Program (ACLP). This program offers regular seminars, conferences and colloquiums on topics related to anti-corruption. He also provides training webinars on the topic of Integrity Management to participants in the Future Leaders Management Programme of the International Federation of Consulting Engineers (FIDIC). He also serves as the Vice Chair of the FIDIC Integrity Management Committee.

## **Panelist - Paul Townsend** – Townsend Risk Advisory

Mr. Townsend is a Chartered Accountant (CA, CPA) and Certified Internal Auditor with over 30 years of experience in risk management, audit, and compliance. For 16 years he was the Chief Risk Officer, VP Audit, and Chief Compliance Officer for the Teekay Group of Companies, leading a global team that was responsible for Enterprise Risk Management, audit, and global anti-corruption efforts including training and investigations. He was also the Vice Chair of the Maritime Anti-Corruption Network and past President of the Institute of Internal Auditors (Vancouver).

Since starting his own practice, he has advised many leading companies on implementing anti-corruption compliance best practices. He has also spoken widely on topics such as Business Ethics, Compliance Best Practices, Whistleblower Hotlines, Internal Auditing Best Practices and Collective Action. Mr. Townsend also assists in coordinating the BC Anti-Corruption Law Program and is also a co-founder of the Business Integrity Group (BIG).